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TRANSMITTAL			Application Number		Patent#: 6,434,763	
			Filing Date		Issued: August 20, 2002	
FORM		First Named Inventor		Toshiaki Kawakami		
			Art Unit		3751	
(to be used for all correspondence after initial filing)			Examiner Name		Charles R. Eloshway	
Total Number of Pages in This Submission		ion 8	Attorney Docket Number	er	967-012	
ENCLOSURES (Check all that apply)						
Fee Transn	nittal Form	Drawing(s)			After Allowance Communication to TC	
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply		X Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application			Proprietary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address			Status Letter	
Extension of Time Request		Terminal Disclaimer		,	Other Enclosure(s) (please Identify below):	
Express Abandonment Request		Request for Refund			Return Mail Room Postcard and Certificate of Express Mail	
Information Disclosure Statement		CD, Number of CD(s)		_		
Certified Copy of Priority Document(s)		Landscape Table on CD				
Reply to Missing Parts/ Incomplete Application		Remarks				
Reply to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name MARJAMA MULDOON BLASIAK & SULLIVAN LLP						
Signature	Mr 5 Blant					
Printed name	George S. Blasiak					
Date	May 46 2000		Reg. No.	T	7 202	

	Transmittal					
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as						
Express Mail, Airbill No. EM203504436U	S, op the date shown below in an envelope addressed to:					
MS Petition, Commissioner for Patents, F	O. Bpx 1450, Alexandria, VA 223/13-1450					
Dated: May 16, 2008 Signa	ure: (Barbara A. Saltsman)					

37,283

May 16, 2008

MAY 1 6 2008

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EM203504436US, on the date shown below in an envelope addressed to: MS Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 16, 2008

Signature: Sabau J Sotts Pr

Docket No.: 967-012 (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toshiaki Kawakami

Application No.: 09/901,359

U. S. Patent No. 6,434,763

Filed: July 9, 2001

For: Seat Supporting Table For A Barber Or

Beauty Chair And Hair Washer With The

Seat Supporting Table

Confirmation No.: 5346

Art Unit: 3751

Examiner: Charles R. Eloshway

PETITION UNDER 37 C.F.R. §1.181 TO REMOVE CERTIFICATE OF CORRECTION

MS: Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

INTRODUCTORY COMMENTS

Facts in support of present Petition are as follows:

1. On October 11, 2007 the patentee requested a Certificate of Correction so that the reference DE 3522110 A1 would appear on the cover sheet of the patent as being considered. The October 11, 2007 request pointed out that, at the time of the filing of the May 24, 2002 Information Disclosure Statement in which reference DE 3522110 A1 was cited, a dated copy of a foreign office action could be filed in satisfaction of the requirement under 37 CFR §1.97(e), and further asserted that fee request associated with the May 24, 2002 Information Disclosure Statement was satisfied by way of a deposit account authorization. The request is attached hereto as Exhibit I (Request, Exhibit I).

- 2. The request was subsequently granted. A Certificate of Correction dated December 11, 2007 was entered into record which placed reference DE 3522110 A1 on the cover of the patent as being considered.
- 3. On January 15, 2008 a paper was placed in the application file indicating that the Office might vacate the properly entered Certificate of Correction dated December 11, 2007.
- 4. The patentee, through its representative, George S. Blasiak ("the patentee") filed a Response under 37 CFR §1.322 dated February 12, 2008 to oppose the possible vacating of the properly entered Certificate of Correction dated December 11, 2007. The February 12, 2008 Response is attached hereto as Exhibit II.
- 5. On May 1, 2008, the patentee received a new Certificate of Correction dated April 29, 2008 purportedly vacating the properly entered Certificate of Correction dated December 11, 2007. In support of the April 29, 2008 Certificate of Correction, a paper signed by Supervisory Examiner Gregory Huson dated March 19, 2008 appears in the Patent Application Information Retrieval ("PAIR") database ("the Huson March 19, 2008 paper"). The Huson March 19, 2008 paper is attached hereto as Exhibit III and the April 29, 2008 Certificate of Correction is attached hereto as Exhibit IV. The Huson March 19, 2008 paper was not transmitted to the patentee; rather, the patentee independently discovered the March 19, 2008 Huson paper using PAIR after receipt of the April 29, 2008 Certificate of Correction. The Huson March 19, 2008 paper evidences dispositively that none of the patentee's arguments submitted with the Response of February 12, 2008 were substantively considered. On May 5, 2008 the patentee left a telephone message with Huson noting to Huson that the patentee's arguments of February 12, 2008 were not considered.

The following excerpts from the patentee's February 12, 2008 Response and the Huson March 19, 2008 paper illustrate the level of consideration the Office has given to the patentee's arguments to this point. In the February 12, 2008 Response, the patentee wrote:

"...in various communications, the Office fails to acknowledge the patentee's argument that, at the time of the May 24, 2002 Information Disclosure Statement, a copy of a communication from a foreign patent office could be submitted as satisfaction of the requirement for a statement. Notwithstanding the clarity with which the patentee has established its position, (in the request of October 11, 2007, and in telephone conferences with Office representatives thereafter), each of the January 15, 2008 and January 16, 2008

communications includes the simple assertion that the May 24, 2002 Information Disclosure Statement lacked a statement under 37 CFR §1.97 without any discussion whatsoever as to why the patentee's submission of a communication from a foreign patent office was not taken in satisfaction of the 37 CFR §1.97 statement requirement. The Office's January 15, 2008 and January 16, 2008 communications also include the curious statement by the Office that a fee requirement respecting the May 24, 2002 Information Disclosure Statement was not satisfied without any discussion of the patentee's argument that the fee requirement was satisfied by the patentee's deposit account authorization....If the present communication by the patentee is not substantively responded to by the Office. the record will reflect that the Office concurs that the December 11, 2007 Certificate of Correction was properly issued." (emphasis added) February 12, 2008 Response, page 10.

In the Huson March 19, 2008 paper, the Examiner wrote

The IDS was filed 5/24/02 (per the certificate of mailing), which is more than three months after the filing date of the application, and later than the Notice of Allowance mailed 3/11/02. The IDS was not accompanied by a statement or fee as required by 37 CFR 1.97(d) in these circumstances. Thus, the IDS will not be considered, and the omission of the reference is proper. *Huson March 19, 2008 paper*.

- 6. On May 6, 2008, Special Programs Administrator Henry Yuen returned the call to the patentee on behalf of Huson. Yuen indicated that he agreed with the patentee that the Huson March 19, 2008 paper failed to evidence that the patentee's arguments submitted with the February 12, 2008 response were substantively considered. Yuen recommended that the patentee file the present petition. Yuen stated that filing the present petition would invoke the authority of the Petitions branch and that the Patent Office would, (contrary to the disposition evidenced by the Huson March 19, 2008 paper), substantively consider the patentee's arguments.
- 7. Additional facts supporting the present petition are contained in Exhibits I and II herein.

Patentee petitions to remove the April 29, 2008 Certificate of Correction on the following grounds.

- A. The patentee was not given an opportunity to be heard prior to the placing of the April 29, 2008 Certificate of Correction in the application file. 37 C.F.R. §1.322(a)(4) requires that the Office present a patentee the opportunity to be heard prior to placing of a negative Certificate of Correction. The patentee was not given such an opportunity. The Office presented no communication to patentee inviting the patentee to respond to the decision to place the April 29, 2008 Certificate of Correction in the file. The record indicates that the substantive arguments presented in the October 11, 2007 request and in the February 12, 2008 Response have been substantively considered by the Office.
- B. The December 11, 2007 Certificate of Correction was properly granted. The patentee is entitled to a presumption that the December 11, 2007 Certificate of Correction was properly entered. The Office has submitted no evidence that the government official entering the December 11, 2007 Certificate of Correction proceeded without authority in entering the December 11, 2007 Certificate of Correction. Also, the Office has admitted that when a valid Certificate of Correction is entered, there is typically no supporting documentation memorializing the decision in the positive to grant the Certificate of Correction. Additional arguments related to the present grounds are presented in Exhibits I and II, incorporated by reference.
- C. The December 11, 2007 Certificate of Correction was properly granted. The Huson March 19, 2008 paper states that the December 11, 2007 Certificate of Correction was vacated because the patentee's Information Disclosure Statement of May 24, 2002 in which the reference was disclosed for consideration by the Office failed to include a statement under 37 CFR §1.97(e) and failed to include a payment. The patentee has responded to the position recited by Huson at length previously. The May 24, 2002 Information Disclosure Statement was in satisfaction of the noted 37 CFR §1.97(e) requirement at the time it was filed because at the time the Information Disclosure Statement was filed, submittal of a dated foreign Office action could be submitted in satisfaction of the 37 CFR §1.97(e) statement requirement. As to the payment requirement, the May 24, 2002 Information Disclosure Statement authorized the Office to access the patentee's deposit account in the event payment was required. The Office has thus far not responded to the present grounds. Additional arguments related to the present grounds are presented in Exhibits I and II, incorporated by reference.
- D. The December 11, 2007 Certificate of Correction was properly granted. Contrary to provisions of the MPEP detailing action in the case a reference was not considered, the patentee did not receive any communication from the Office during the pendency of U. S. Patent No. 6,434,763 that the reference DE 3522110 A1 was not considered. Additional arguments related to the present grounds are presented in Exhibits I and II, incorporated by reference.

- E. The December 11, 2007 Certificate of Correction was properly granted. The record evidences that the reference DE 3522110 A1 was, in fact, considered. The patentee is entitled to the presumption that a government agent has properly done his or her job. Also, the Manual of Patent Examining Procedure ("MPEP") indicates that a properly submitted Information Disclosure Statement reference must be considered. Therefore, the patentee is entitled to the presumption that the reference DE 3522110 A1 was considered by the Office. Additional arguments related to the present grounds are presented in Exhibits I and II, incorporated by reference.
- F. The December 11, 2007 Certificate of Correction was properly granted. Aside from the various presumptions that the patentee enjoys, the record contains further indicia that the reference DE 3522110 A1 was considered. The May 24, 2002 Information Disclosure Statement bears the marking "OK TO ENTER." The term "enter" has been given special meaning by the Office and is used by the Office to distinguish content that is considered by the Office from content that is not considered. Therefore, the marking "entered" of the May 24, 2002 Information Disclosure Statement further evidences that the reference DE 3522110 A1 was considered. Additional arguments related to the present grounds are presented in Exhibit II, incorporated by reference.
- G. The December 11, 2007 Certificate of Correction was properly granted. The Office has not substantively responded to the arguments of the patentee's February 12, 2008 Response. In the February 12, 2008 Response, the patentee stated: "If the present communication by the patentee is not substantively responded to by the Office, the record will reflect that the Office concurs that the December 11, 2007 Certificate of Correction was properly issued." The Huson March 19, 2008 paper does not substantively respond to the patentee's February 12, 2008 Response. Additional arguments related to the present grounds are presented in Exhibit II, incorporated by reference.

In view of the above, the patentee petitions to vacate the April 29, 2008 Certificate of Correction so that the December 11, 2007 Certificate of Correction is evidenced on record to be in force.

If the Examiner believes that contact with patentee's attorney would be advantageous toward the disposition of this case, the Examiner is herein requested to call patentee's representative at the phone number listed below.

The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to deposit Account No. 50-0289.

Application No. 09/901,359 Petition Dated May 16, 2008

• 4

Docket No.: 967-012

Dated: May 16, 2008

Respectfully submitted,

George S. Blasiak

Registration No.: 37,283

Marjama Muldoon Blasiak & Sullivan LLP 250 South Clinton Street, Suite 300

Syracuse, New York 13202

(315) 425-9000

Customer No.: 20874

GSB/bs

EXHIBIT I

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, No. EM154107250US, on the date shown below in an envelope addressed to:

Certificate of Corrections Branch, Comprise oner fd. Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

Dated: October 11, 2007

Docket No.: 967_012

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Toshiaki Kawakami

Application No.: 09/901,359

Filed: July 9, 2001

For: SEAT SUPPORTING TABLE FOR A

BARBER OR BEAUTYCHAIR AND HAIR WASHER WITH THE SEAT SUPPORTING

TABLE

Confirmation No.: 5346

Art Unit: 3751

Examiner: Charles R. Eloshway

REQUEST FOR CERTIFICATE OF CORRECTION **PURSUANT TO 37 C.F.R. § 1.322**

Certificate of Corrections Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please see remarks which begin on page 2.

REMARKS

Receipt of the above-identified patent is hereby acknowledged. The present patent has been subject to an application for re-issue (re-issue application no. 10/922,266). However, the noted re-issue application has not been granted. Until a re-issue application is granted, the original patent shall remain in effect. See MPEP §1416, 37 CFR § 1.178

In checking the original patent against our file, however, (1) one minor error was noted. One cited reference was omitted. This reference was cited in a conforming Information Disclosure Statement (IDS) filed May 24, 2002 prior to payment of the Issue Fee paid June 11, 2002.

The present Request for Certificate of Correction is a re-file of the Certificate of Correction Request dated October 11, 2002 ("the first Request"). The first Request was denied for the reason that the May 24, 2002 IDS allegedly lacked a required communication by the applicant under 37 C.F.R. § 1.97(e) and did not include a required fee.

On further review of the prosecution history, the applicant has noted that the IDS filed May 24, 2002 fully complied with applicable provisions of the Manual of Patent Examining Procedure (MPEP) in effect at the time the May 24, 2002 IDS was filed, and by mistake of the Office, was not indicated to be considered by the Office.

Under the MPEP 8th, Revision 1, in effect at the time of filing of the May 24, 2002 IDS, a copy of a dated communication from a foreign patent office could be submitted in lieu of the referenced required communication by the applicant under 37 C.F.R. §1.97(e):

If an IDS includes a copy of a dated communication from a foreign patent office which clearly shows that the statement is being submitted within 3 months of the date of the communication, the copy will be accepted as the required communication. It will be assumed, in the absence of evidence to the contrary, that the communication was for a counterpart foreign application.

In the alternative, a statement can be made if no item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, neither was it known to any individual having a duty to disclose more than 3 months prior to the filing of the statement. (*Emphasis added*) MPEP 609, 8th, Rev. 1, August 2001

Regarding the requirement for a dated communication from a foreign patent office, a communication from a foreign patent office clearly dated März (March) 26, 2002 and received April 12, 2002 was appended to the DE 35 22 110 A1 reference with the May 24, 2002 IDS filing. The Office's attention is directed to the entry identified by "06-11-2002 FOR Foreign Reference" in the Patent Application Information Retrieval (PAIR) database (the PAIR Headings are attached as Exhibit A and a printout of the noted submission as it is presented in the official file wrapper is attached as Exhibit B).

Regarding the requirement for a fee, the May 24, 2002 IDS included the statement: "The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to deposit account no. 50-0289."

Further to the above, while applicants were (erroneously) informed of an alleged deficiency in the May 24, 2002 IDS after issuance, applicant received no notice respecting any deficiency of the May 24, 2002 IDS during the pendency of the application. Under MPEP §609, in effect at the time of the May 24, 2002 IDS, an Examiner's duty with respect to IDSs was as follows:

If an item of information in an IDS fails to comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98, that item of information in the IDS will not be considered and a line should be drawn through the citation to show that it has not been considered. However, other items of information that do comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98 will be considered by the examiner. (Emphasis added) MPEP 8th, Rev. 1, August 2001

Applicant did not receive any returned paper having a line drawn through the DE 35 22 110 A1 citation between the time the May 24, 2002 IDS was filed, and the

time, almost three full months thereafter, that the patent issued (the patent issued on August 20, 2002). The lack of notice by the Office during the pendency of the application indicated that the Office correctly regarded the May 24, 2002 IDS to be in compliance with applicable provisions of the MPEP in effect at the time the May 24, 2002 IDS was filed.

In view of the above, the May 24, 2002 IDS complied with applicable provisions of the MPEP in effect at the time of the filing of the May 24, 2002 IDS.

It is, therefore, requested that a Certificate of Correction be issued as per the attached form PTO/SB/44 submitted herewith in duplicate. By grant of the present Request the Office will confirm that the DE 35 22 110 A1 reference submitted with the May 24, 2002 IDS has been considered.

If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0289, under Order No. 967_012 from which the undersigned is authorized to draw.

Dated: October 11, 2007

Respectfully submitted.

George S. Blasiak

Registration No.: 37,283

MARJAMA MULDOON BLASIAK & SULLIVAN LLP

250 South Clinton Street

Suite 300

Syracuse, New York 13202

(315) 425-9000

Customer No. 20874

GSB/slp

PTO/SB/44 (09-07)

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page _1 of _1

PATENT NO.

6,434,763

APPLICATION NO.

09/901,359

ISSUE DATE

August 20, 2002

INVENTOR(S)

Toshiaki Kawakami

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

FACE OF THE PATENT

(56) References Cited

U. S. PATENT DOCUMENTS

After the last Cited Reference "6,230,345 B1 * 5/2001 Borrero et al." Please insert FOREIGN PATENT DOCUMENTS

DE 3522110 A1 6/1985

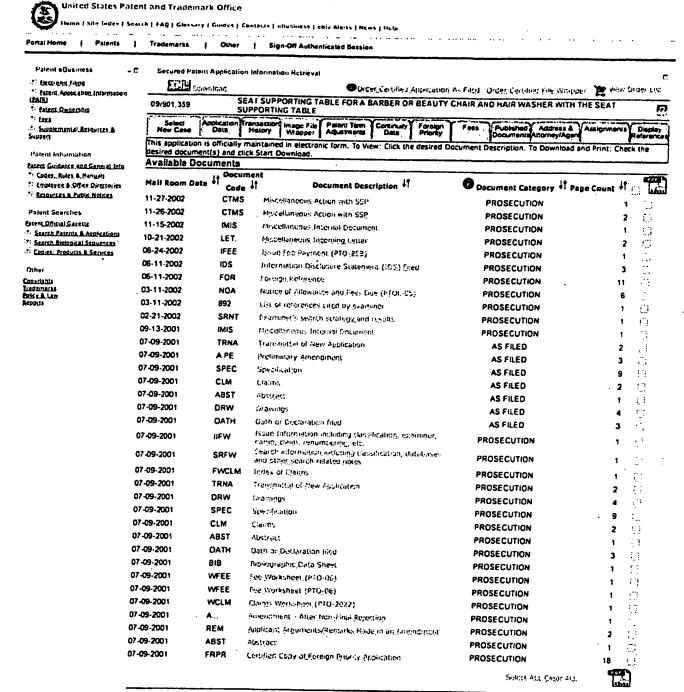
Transmittal

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, No. EM154107250US, on the date shown envelope addressed to: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 11, 2007

MAILING ADDRESS OF SENDER (Please do not use customer number below): George S. Blasiak MARJAMA MULDOON BLASIAK & SULLIVAN LLP 250 South Clinton Street Suite 300 Syracuse, New York 13202

EXHIBIT A



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EXHIBIT B



DEUTSCHES PATENTAMT Alcterzeichen:

P 35 22 110.0

Anmaidetag: (a) Offenlagungetag:

20. 8.86

2 1.87

(2) Unionsprientate (2) (3) 18.09.85 EP

(f) Anmelder:

Jobet, Fritz, 7070 Schwäbisch-Gmünd, DE

@ Erftoder:

gleich Anmelder

Weschsessel für Priesure

Der Waschsessel dern zum Waschen der Kopfheure. Durch Verschieben der Startfichte mech vom und unten wird der Abstand zedschan Waschbecken und Startfische vergrö-fert. Dedurch ist men in der Lega. Memochen verschiedener Größe in diesem Bassal zu weschen.

Percentage fiche

1) Sitellichentriger dadurch gehennerichent, daß de historen Führungshahnen für die Situralien schrift nach unten und die vorderen Führungsbabnen für die Sitzrollan schrig nach oben, in Richtung क्षक्र अध्या हत्यतंत्र संवर्ध

2) Nach Anspruch 1) dadurch gekennzeichnet daß die ve dere Fährungsbahn walgerecht ist. 1) Nach Amprica 11 and 2) dell der Saullichen 18 riger 3 Filmungsbahnen bat (3 Punkt-Auflage).

4) Nach Amproch 1), 2) und 1) daß der Stuffe-chentriger une Stahlrohr angefertigt ist.

5) Nach Amproch 1, 2, 3) und 4, daß der Straffe-cheurziger aus Abendalum angeferügt ist. 6) Nach Amproch 1, 2, 3, 4, und 3, daß der Sitzfächenträger aus U-Proff angeferügt ist.

Beschreibung

Allgeneines

Durch die unterschiedliche Größe der Mauschen. mus die Höhe zwischen Sierfläche und Waschbeden versuellhar sein. Der Höhennutarschied wird durch leip 21 en, verschieben oder durch eine Höhenverstellung des Wastibeckens erreicht. Denselben Zweck erreicht man durch verschieben der Sitzfliche in hartmontaler oder breisbogenförmiger Lage, oder gleichrehige Versehle-bung meh vorne und onten. Sine weinere Mitgliebleit 20 ist die Höhenverstellung der Steaffiche Durch des Verschieben wird der Oberkürper aus der vertikalen in eine Schräginge gehracht. Dedurch vergrößert sich der Abetend zwiechen Sitzfläche und Weschberben.

Nachado

Der Nachtell der Hähenverstellung und bewegliche Schlänche und eine größers Arbeitsgensuigheit (Füh-zung) Bei kippharen Waschbecken kann man in der unteren Stellung die Haare nicht gemilgend bearbeiten.

Waschsenel mit veramiebberer Sterfiliche und 4 Gleich punkten haben alle eine gleitende Filtrungsbahn Durch die Betestigung des Führungsbahnerigers auf dem Fußboden, der nie eben ist, entstehen Spannungen. Der Pübrungsbehauriger muß destralb stabil sein, um die Spanmingen antrufangen. Durch verschieben der Streffiche nach vurn und achräg umen wird die Beinstellung bei größeren Menschen ungünstig verändert. Der Schenkel liegt nicht mehr auf der Sitziliche auf.

Löning der Antgabe und Beschreibung des Warchtench.

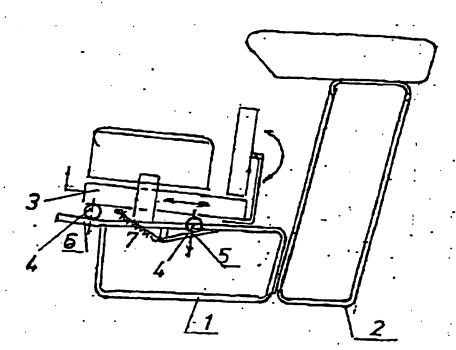
LAMBE

Durch eine 3-Punici und rollenda Führung fenns man eine leichte Konstruktion verwanden. Auch bei mebonem Fullboden kippt die Straffiche nicht Die Führung-beimen für die Straffiche sind Mateu osch unten und your wasgrecht oder meh oben geneigt. Beim Verschieben der Stuffiche veigt die hintere Kanto nuch unten and die vordere Kante nach aben. Durch diese Bewegung wird die Schenkelhöhe größer und ein angenebares Sizen careicht. Die verschiedenen Winkelstelber gen der Führungsbahnen —himme nach miten und vom Busgrecht, oder mech oben — kompensierenden Druck das Körpergewichtes aus, sodaß nur eine schwache Zugfeder zum Gewichtungeleich bemitigt wird.

Beschreibung:

Der Wuschmard bestaht aus Strefflichenträger (1) und Warchbackenträger 2. Beide eind mit Schrauben verbunden. Auf dem Shrifachenträger bewegt nich verschiehber eine Streffiche(3), en der Rollen(4) befestigt sind. Diese Rollen führen beim Vogwürtsschieben auf der Pührungestunge(5), die himtere Sitzfiliche nach unten und auf dem Pührungsuriger(6) die verdere Streffeche nach ohen oder wasgerecht. Eine Zugleder(7) zieht die Similache in die Ausgengestellung zur fich.

Nummer: Int. Cl.4; Anneldeing: Offenlegungsing: 35 22 110 A 47 C 1/04 ** 20. Juni 1985 2. Januar 1987



ORIGINAL INSPECTED

903 851/177

TRANSLATION OF THE OFFICE ACTION Dated April 12, 2002 P33020-114/ky

Seat support table known, on which a hairdresser chair (3) is detachable, fixed, whereby the seat support table is attached to a moving part (4), which can be moved in the direction of the back rest of the hairdresser chair (3) to the front and back, and the moving part (4) has a fixation part for fixation of the hair dresser chair (3). (Compare description and figure.)

Therefore all the features of the claim 1 are known. Claim number 1 is therefore not new.

From DE 3522 110, there is already a hair washing station known, with a bowl, which has a neck rest part for the resting of the neck of a person which has to be washed, a standing part (2) for the support of the bowl and a support part (1) for the seat support table, thereby one end is affixed to the support part (2) and the other end is fixed to the seat support table, on which the hairdresser chair (3) is detachable fixed, thereby the seat support table being ixed to a moving part (4), whereby the moving part (4) can slide in the direction of the back est on the hairdresser chair (3) to the front and to the back, and the moving part (4) having a fixation part for the fixation of the hairdresser chair. (Compare figure).

herefore, all the features of the additional claim 4 are already known. Claim number 4 is 1 herefore not new. Therefore, claim 4 cannot be granted.

The other claims cannot be granted because of formal causes, as the independent claims 1 and 2, on which the dependent claims 2, 3, 5 and 6 are relied to, are not grantable.

For the claims 2,3,5 and 6, the Offenlegungsschrift DE 3522 110 is relevant. The moving part which can be moved along the guiding rails (5) and (6), for the guidance of the sledge of the moving part, and the device for the controlling of the moving force (7), for the control of the movement of the moving part, is not new according to the figure of DE 3522 110. The fixation part, the fixation of the hairdresser chair (3) which is characterized by a protrusion (1), which engages an engagement part on the back part of the hairdresser chair (3), is not not we according to the figure of the Offenlegungsschrift 3522 110. It is also not new that the moving part can be moved in a wanted position along the guiding rails (5) and (6) for the guidance of the sledge of the moving part and the existence of a device for the controlling of

a moving part is also not new. According to the figures of DE 3522 110, the fixation part with the protrusion part (1), which can be engaged by the engaging part is also not new.

Therefore the patent cannot be granted.

The Examiner of Class A 47C



Deutsches Patent- und Markenamt

München, den 26. März 2002

Telefon: (0 89) 21 95 - 2729

Aktenzeichen: 101 32 852.4-14

Deutschee Patent- und Markenumt · 80297 München

Grünecker, Kinkeldey,

Stockmair & Schwanhäusser

Anwaltssozietat

Maximillanstr. 58 80538 München

RAINHEUT Y LLENGHUR RENDENUMD RESELLENAVINOS & ANWALTSSCZIETAT

12 April 2002

40.8.6N FRIST

TERM EINGANG - thr Zelchen: P33020-001/fw

Oohiro Works, Ltd.

Anmelder:

Bitte Aktenzeichen und Anmeider bei allen Eingaben und Zahlungen angeban

Zutreffendes ist angebreuzt 🗵 und/oder ausgefüllt

Prüfungsantrag, wirksam gestellt am 6. Juli 2001

Eingabe vom

eingegangen am

Die Prüfung der oben genannten Patentanmeldung hat zu dem nachstehenden Ergebnis geführt. Zur Äußerung wird eine Frist von

4 Monet(en)

gewährt, die mit der Zustellung beginnt.

Für Unterlagen, die der Außerung gegebenenfalls beigefügt werden (z.B. Beschreibung, Beschreibungsteile, Patentansprüche Zeichnungen), sind je zwei Ausfertigungen auf gesonderten Blättem erforderlich. Die Außerung selbst wird nur in einfache

Werden die Beschreibung, die Patentansprüche oder die Zeichnungen im Laufe des Verfahrenssgeandert, so hat der Anmelder sofem die Anderungen nicht vom Deutschen Patent- und Markenamt vorgeschlagen sind, im Einzelnen anzugeben, an welche Stelle die in den neuen Unterlagen beschriebenen Erfindungsmerkmale in den ursprünglichen Unterlagen offenbarf sind.

Anlagen: Abl. von 1 Entgegenhaltung 2-fach

Hinwels auf die Möglichkeit der Gebrauchsmusterabzweigung

Der Anmekter einer nach dem 1. Januar 1987 mit Wirkung für die Bundesrepublik Deutschland eingereichten Patentanmeldung kann eine Gebrauchanusteranmeldung, die den gleichen Gegenstahd battift, einreichen und gleichzeitig den Anmektang der früheren Patentanmektung in Anapruch nehmen. Diese Abzweigung (§ 5 Gebrauchanustergeseit) ist bis zum Abfauf von 2 Monaten nach dem Ende des Monates möglich, in Angruch nenmen. Diese Ausweigung (§ 3 Gebreuchsmestergewich at die dem Anstellen neuen von 4 monaten neuen dem Chemphresiden erteilige Zurückweisung. Indwillige Rücknehme oder Rückmehmestellungsbeschlichen eine Entwickliche Zurückweisung. Indwillige Rücknehme oder Rückmehmestellungsbeschliche Einspruchsverfahren abgeschließen oder - im Falle der Erteilung des Patents - die Frist für die Beschwerde gegen den Erteilungsbeschliche fruchtige verstrichen ist. Ausführliche Informationen über die Erfordernisse einer Gebreuchemusteranmeldung, einschließlich der Abzweigung. enthät das Mentirat für Gebrauchsmusterenmelder (G 6181), walches kostenios beim Petent und Markenami und den

Annahmestelle und Nachtbriefkasten nur

Zweibrückenstraße

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SS Hattostella laartor

52 Mattantallo Fasangare Bus 48/99 (ab S-Bannhof Glasing) Haffestelle Circlingtistra

1) DE 35 22 110 U1A

Aus der Entgegenhaltung 1 ist bereits ein Sitzauflagefisch bekannt, an dem ein Frisör- oder Kosmetikstuhl 3 lösbar befestig bar ist, wöbei der Sitzauflagetisch mit- einem Verschiebeteil 4 versehen ist, welches in Richtung einer Sitzrückfläche des zu befestigenden Frisör- oder Kosmetikstuhles 3 nach vome und hinten gleitet, und der Verschlebeteil 4 mit einem Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhles 3 versehen ist (vgl. Beschreibung und Figur).

Hiemach waren sämtliche Merkmale des geltenden Anspruchs 1 bekannt. Der Anspruch 1 ist daher mangels Neuheit seines Gegenstandes nicht gewährbar.

Aus der Entgegenhaltung 1 ist auch bereits eine Haarwaschstation bekannt, mit einem Kugelteil, das mit einem Nackenaufnahmeabschnitt zur Aufnahme eines Nackenabschnittes einer zu waschenden Person versehen ist, einen Beinabschnitt 2 zum Abstützen des Kugelabschnittes und einen Stützam 1 für den Sitzauflagetisch, dessen eines Ende am Beinabschnitt 2 befestigt ist und dessen anderes Ende mit einem Sitzauflagetisch versehen ist, an dem ein Erlsör- oder Kosmetikstuhl 3 lösbar anbringbar ist, wobei der Sitzauflagetisch mit einem Verschiebeteil 4 versehen ist, welches in Richtung einer Rückenlehne des anzubringenden Frisör- oder. Kosmetikstuhles 3 nach vorne oder hinten gleitet und der Verschiebeteil 4 mit einem Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhles versehen ist (vgl. Fig.).

Hlemach waren auch sämtliche Merkmale des nebengeordneten Anspruchs 4 bekannt. Der Anspruch 4 ist daher ebenfalls mangels Neuheit seines Gegenstandes nicht gewährbar.

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Die Unteransprüche können schon aus formalen Gründen nicht verbleiben, da die Ansprüche, auf die sie rückbezogen sind, nicht gewährbar sind.

Zu den Ansprüchen 2und 3 sowie 5 und 6 wird auf die Entgegenhaltung, 1 verwiesen, da diese bereits zeigt:

- a) dass das Verschiebeteil in eine gewünschte Position entlang einer Führungsschiene5,6 zur Führung eines Schlittens des Verschlebeteils verschoben wird und eine Verschiebekraftsteuereinrichtung 7 zur Steuerung der zum Verschieben des Verschiebeteils notwendigen Verschiebekraft vorgesehen ist (vgl. Fig.),
- b) dass der Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhls
 3 als ein Vorsprung 1 ausgestaltet ist, der mit einem Eingriffsabschnitt an einer rückwärtigen Fläche des Frisör- oder Kosmetikstuhles 3 in Eingriff gelangt (vgl. Fig.),
- c) dass der Verschiebetell in eine gewünschte Position entlang einer Führungsschiene 5,6 zur Führung eines Schlittens des Verschiebeteils verschoben wird und eine Verschiebekraftsteuereinrichtung 7 zur Steuerung der zum Verschieben des Verschiebeteils benötigten Verschiebekraft umfasst (vgl. Fig.), und
- d) dass der Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhls 3 als ein Vorsprung 1 ausgestaltet ist, der mit einem an einer rückwärtigen Flache des Frisör- oder Kosmetikstuhls 3 vorgesehenen Eingriffsabschnitt in Eingriff gelangt (vgl. Fig.).

III.

Bei der gegebenen Sachlage kann ein Patent nicht erteilt werden. Auf die am Anfang dieses Bescheides vorgedruckte Auflage wird besonders hingewiesen.

Prüfungsstelle für Klasse A47C

P 33 020 - ba

May 22, 2002

TRANSLATION INTO ENGLISH OF:

German Offenlegungsschrift 35 22 110 A1:

Date of filing : June 20, 1985

Applicant

: Jobst, Fritz

Senal No.

: P 35 22 110.0

Claims

- 1.) A seating surface support, characterized in that the rear guideways for the seat rollers are inclined at an oblique angle downwards and the front guideways for the seat rollers are inclined at an oblique angle upwards in a forward direction.
- 2.) A seating surface support according to claim 1, characterized in that the front guideway is horizontal.
- 3.) A seating surface support according to claims 1 and 2, characterized in that the seating surface support has three guideways (three-point support).
- 4). A seating surface support according to claims 1, 2 and 3, characterized in that the seating surface support is made of steel tubes.
- 5.) A seating surface support according to claims 1, 2, 3 and 4, characterized in that the seating surface support is made of aluminium.
- 6.) A seating surface support according to claims 1, 2, 3, 4 and 5, characterized in that the seating surface support consists of a U-profile.

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Description

General Aspects

Due to the fact that human beings are not equally tall, the height between the seating surface and the washbasin must be adjustable. The difference in height is obtained by tilting, displacing or vertically adjusting the washbasin. The same aim is achieved by displacing the seating surface in a horizontal or circular-arc position or by displacing it simultaneously forwards and downwards. Another possibility is a vertical adjustment of the seating surface. By means of the displacement, the upper part of the body is moved from a vertical to an inclined position. This has the effect that the distance between the seating surface and the washbasin becomes larger.

Drawbacks

Drawbacks of vertical adjustment are movable hoses and the necessity of a higher operating accuracy (guidance). In the case of tiltable washbasins, the half cannot be treated sufficiently at the lower position. Hairwash seats having a displaceable seating surface and 4 sliding points all have a sliding guideway. Due to the fact that the guideway support is fixed to the floor, which is never even, stresses are generated. The guideway support must therefore be stable so as to absorb the stresses. When the seating surface is displaced to the front and at an oblique angle downwards, the position of the legs of tall persons changes in a disadvantageous manner. The thigh no longer rests on the seating surface.

Solution of the task and description of the hairwash seat

Solution:

Due to the use of a three-point and roller-type guidance, a light-weight construction can be employed. The seating surface will not tilt — not even in the case of uneven floors. The guideways for the seating surface are inclined downwards at the rear, and at the front they are horizontal or inclined upwards. When the seating surface is being displaced, the rear

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edge moves downwards and the front edge upwards. Due to this movement, the thigh height is increased and the person on the seat will sit more comfortably. The various angular positions of the guideways — downwards at the rear and horizontal or upwards at the front — compensate the pressure caused by the body weight so that only a weak helical tension spring will be required for weight compensation.

Description:

The hairwash seat comprises a seating surface support (1) and a washbasin support (2). The two components are connected by means of screws. A seating surface (3), which has rollers (4) secured thereto, moves displaceably on the seating surface support. When advanced on the guide bar (5), these rollers move the rear seating surface downwards, and, when advanced on the guide support (6), they move the front seating surface upwards or in a horizontal direction. A helical tension spring (7) draws the seating surface back to its starting position.

Abstract

Hairwash seat for hairdressers

The hairwash seat serve to wash a person's hair. By displacing the seating surface forwards and downwards, the distance between the washbasin and the seating surface will be enlarged. Hence, this seat can be used for washing the hair of persons of different heights.

EXHIBIT II

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, No. EM188601376US, on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patente, P.O. Box 1459, Alexandria, VA 22313-450.

Dated: February 12, 2007

Signature: (Sween Pagayo)

Docket No.: 967_012

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:

Toshiaki Kawakami

Application No.: 09/901,359

Patent No.: 6,434,763 Granted: August 20, 2002

Filed: July 9, 2001

Art Unit: 3751

For: SEAT SUPPORTING TABLE FOR A

BARBER OR BEAUTY CHAIR AND HAIR WASHER WITH THE SEAT SUPPORTING

TABLE

Examiner: Charles R. Eloshway

Confirmation No.: 5346

RESPONSE UNDER 37 CFR §1.322 TO COMMUNICATION INDICATING THAT OFFICE INTENDS TO ENTER NEW CERTIFICATE OF CORRECTION TO VACATE PREVIOUSLY ENTERED CERTIFICATE OF CORRECTION

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A sealed Certificate of Correction (Appendix A) was properly granted and entered into the above U.S. Patent file on December 11, 2007. The sealed Certificate of Correction corrects the patent so that reference DE 3522110 A1 is indicated on the front cover sheet of the patent to be among the list of references considered by the Office during pendency of the application. The patentee had received certain other papers, namely a communication by Mary Diggs ("the Diggs paper") dated November 30, 2007 (attached hereto as Appendix B), a communication by Gregory Huson ("the Huson paper") dated December 11, 2007 (attached hereto as Appendix C) (but prior to the December 11, 2007 Certificate), a Notice dated November 15, 2002 in response to an earlier request for a Certificate of Correction of October 11, 2002 and a communication dated November 27, 2002 also in response to the October 11, 2002 request which might be characterized as being inconsistent with the sealed Certificate of Correction. However, the noted papers were properly understood to have been superseded by the December 11, 2007 sealed Certificate of Correction, which appears later in the record than each of the noted papers. Under U.S. law, a Certificate of Correction, when issued, merges into and becomes part of the issued patent. "Whenever a mistake in a patent, incurred through the fault of the Patent and Trademark Office, is clearly disclosed by the records of the Office, the Director may

Application No. 09/901,359 Response dated February 12, 2008 Response Under 37 CFR §1.322 Docket No.: 967_012

issue a certificate of correction stating the fact and nature of such mistake, under seal, without charge, to be recorded in the records of patents. A printed copy thereof shall be attached to each printed copy of the patent, and such certificate shall be considered as part of the original patent. Every such patent, together with such certificate, shall have the same effect and operation in law on the trial of actions for causes thereafter arising as if the same had been originally issued in such corrected form. The Director may issue a corrected patent without charge in lieu of and with like effect as a certificate of correction." See 35 U.S.C. §254

Notwithstanding the grant of a sealed Certificate of Correction, communications by the Office dated January 15, 2008 and January 16, 2008 (Appendices D and E. respectfully) indicate that the Office has determined that the December 2007 Certificate of Correction was issued in error. The January 15, 2008 communication indicates that a Certificate of Correction is being prepared to vacate the December 11, 2007 Certificate of Correction. 37 CFR §1.322(a)(4), requires that a patentee be given an opportunity to be heard before an adverse Certificate of Correction is entered by the Office. "The Office will not issue a certificate of correction under this section without first notifying the patentee (including any assignee of record) at the correspondence address of record as specified in §1.33(a) and affording the patentee or an assignee an opportunity to be heard." Contrary to the provisions of 37 CFR §1.322(a)(4), neither the January 15, 2008 nor the January 16, 2008 communications of the Office invite the patentee to respond to or traverse the communication. In fact, the January 15, 2008 communication expressly discourages a response: "No further response is required, from applicants (attorney)." Nevertheless, the patentee presents the present communication in response to the January 15, 2008 and the January 16, 2008 communications by the Office.

I. Facts in support of response

- 1. The patentee filed a Request for a Certificate of Correction dated October 11, 2007. The Request is attached hereto as Appendix F. In substance, the Request requested that the cited reference DE 3522110 A1 be added to the listing of references on the cover page of the patent. The Request argued that the noted reference had been submitted in a conforming Information Disclosure Statement (IDS) filed May 24, 2002 which included a dated copy of a communication from a foreign patent office in satisfaction of the requirement for a statement under 37 CFR §1.97(e). Under provisions of the Manual of Patenting Procedure (MPEP) in effect at the time of the May 24, 2002 Information Disclosure Statement, a dated copy of a communication from a foreign patent office satisfied the requirement for a statement under 37 CFR §1.97. MPEP 8th, Rev. 1
- 2. The Request was granted by the Office. The patentee has received a sealed Certificate of Correction, attached hereto as Appendix A. The PAIR database indicates that the grant of the Request was entered into the file on December 11, 2007.
 - 3. Additional facts in support of the present response are as follows.

a. On November 29, 2007 patentee received a correspondence from the Office ("the Diggs paper") indicating that the patentee's October 11, 2007 Request was being denied for an Information Disclosure Statement lacking a statement under 37 CFR §1.97. However, after noting that the Office had overlooked the presented arguments that a copy of a communication from a foreign patent office satisfied the 37 CFR §1.97 requirement, the patentee's representative George S. Blasiak contacted the Corrections Division of the Office and verbally requested further consideration of the Request on the basis of the Office overlooking the patentee's argument that the 37 CFR §1.97 statement requirement had been satisfied by the submission of a foreign patent office communication. This verbal request was granted and on December 6, 2007, the patentee received notice that the October 11, 2007 request for a Certificate of Correction was being reconsidered in view of the patentee's argument that the submittal of a foreign patent office communication satisfied the CFR §1.97 statement requirement. The Office further advised the patentee that the Office would be consulting with the Office's Office of Legal Administration regarding the October 11, 2007 Request. On December 11, 2007, the patentee's Request was granted, and a sealed

Docket No.: 967_012

b. Between December 12, 2007 and December 14, 2007, after the grant of the Request by the Office on December 11, 2007, but before the patentee and before the Office of Legal Administration received notice of the grant, the patentee's representative engaged in telephonic conversations with representatives of the Office of Legal Administration. During these conversations, the Office of Legal Administration indicated that it concurred with the patentee's position that, at the time of the May 24, 2002 Information Disclosure Statement, the requirement of a statement under 37 CFR §1.97 would be satisfied by the submittal of a copy of a communication from a foreign patent office.

Certificate of Correction was entered into the record.

- c. The patentee made a prior Request for Certificate of Correction dated October 11, 2002 for addition of the DE 3522110 A1 reference. The October 11, 2002 Request was denied, erroneously by the Office, for the underlying Information Disclosure Statement lacking a statement under 37 CFR §1.97 and for lack of fee payment, even through a dated copy of a communication was included in the underlying Information Disclosure Statement and even though the Office was authorized to access the patentee's deposit account for payment of any required fee.
- d. The present patent is currently the subject of a reissue application, U.S. Patent Application No. 10/922,266.

II. Legal arguments in support of the present response are as follows.

1. Certificate of Correction of December 11, 2007 was properly issued because the requirement for a statement under 37 CFR §1.97 in the patent was satisfied.

As is explained in the October 11, 2007 Request for Certificate of Correction, the filing of a dated communication from a foreign patent office with the May 24, 2002 Information Disclosure Statement was in satisfaction of the requirement for a statement under 37 CFR §1.97 according to the provisions of the MPEP in effect at the time of the filing of the May 24, 2002 Information Disclosure Statement. The legal arguments presented in the October 11, 2007 Request for Certificate of Correction are incorporated herein by reference.

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2. Certificate of Correction of December 11, 2007 was properly issued because the fee requirement for the May 24, 2002 Information Disclosure Statement was satisfied.

Also, the deposit account Authorization of the May 24, 2002 Information Disclosure Statement ("The Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account no. 50-0289") was in satisfaction of any applicable fee requirement.

3. Certificate of Correction of December 11, 2007 was properly issued because the subject matter of the Certificate is proper subject matter for Certificate of Correction.

The Request for Certificate of Correction of October 11, 2007 is proper subject matter for a Request for Certificate of Correction. A Certificate of Correction is appropriate for correcting mistakes incurred through the fault of the Office. MPEP §1480, MPEP 8th, Rev. 6 The evidence of record indicates that the DE 3522110 A1 reference was considered by the Office and that failure of the Office to print the DE 3522110 A1 reference on the cover of the patent was a mistake incurred through the fault of the Office. When a conforming Information Disclosure Statement is submitted an Examiner must consider the information of the Information Disclosure Statement: "An Information Disclosure Statement filed in accordance with the provisions of 37 CFR §1.97 and 37 CFR §1.98 will be considered by the Examiner assigned to the application." (Emphasis added) MPEP §609, MPEP 8th, Rev. 1. Also, a presumption applies that a government agent has properly carried out his or her duties. See American Hoist & Derrick Co. v. Sowa & Sons 725 F.2d 1350,1359 (Fed. Cir. 1984), ("[when relying on prior art already considered, a party seeking to invalidate a patent] has the added burden of overcoming the deference that is due to a qualified government agency presumed to have

properly done its job.") Because the Information Disclosure Statement of May 24, 2002 listing the DE 3522110 A1 reference conformed with applicable rules for submission of an Information Disclosure Statement at the time it was filed, and further because Examiners must consider information of properly filed Information Disclosure Statements, and further because a government agent is presumed to have properly performed his or her job, the patentee is entitled to the presumption that the information of the May 24, 2002 Information Disclosure Statement was considered.

In addition to the noted presumption that the patentee enjoys, the May 24, 2002 Information Disclosure Statement bears an express indicia by the Office that the Information Disclosure Statement was entered into the record by the Office. The May 24, 2002 Information Disclosure Statement, attached hereto as Appendix G, bears the following marking:

OK to Enter

Forms of the term "enter" as used by the Office are of legal significance and are used by the Office to distinguish information that is considered by the Office from information that is not considered. In the context of Office action responses made after final, a response is "entered" after final only if certain circumstances are present: "It should be kept in mind that applicant cannot, as a matter of right, amend any finally rejected claims..." MPEP §714.13, MPEP 8th, Rev. 1 An amendment after final is not "entered" if the amendment would not place the case in condition for allowance or in better condition for appeal, would raise the issue of new matter or would raise new issues. MPEP §714.13, MPEP 8th Rev. 1 Further, neither claims or arguments presented are entitled to consideration if they are not entered: "Once a final rejection... has been entered, applicant... no longer has any right to unrestricted further prosecution. This does not mean that no further amendment or argument will be considered. Any amendment that will place the application either in condition for allowance or in better form for appeal may be entered." (Emphasis added) MPEP §714.12, MPEP 8th, Rev. 1

The term "entered" has also been used by the Office to distinguish information considered by the Office from information not considered in the context of affidavits and declarations. *MPEP §716.01* in effect that the time of the May 24, 2002 Information Disclosure Statement states as follows: "The following criteria are applicable to all evidence traversing rejections submitted by the applicants, including affidavits or declarations

submitted under 37 CFR 1.143(a) ... evidence traversing rejections must be timely or seasonably filed to be entered and entitled to consideration." In re Rothermel 276 F.2d 393, 125 USPQ 328 (CCPA 1960) (emphasis added) cited in MPEP §716.01, MPEP 8th, Rev. 1

More recently, the Office has used the term "entered" to distinguish considered information from not considered information in the context of appeals. "An appendix containing copies of any evidence submitted pursuant to §1.130, §1.131, or §1.132 of this title or of any other evidence entered by the Examiner and relied upon by appellant in the appeal... Reference to unentered evidence is not permitted in the brief." 37 CFR §41.37 [effective September 13, 2004]

By contrast, the Office has used the term "placed in the file" to refer to information that is not considered: "... submitted information... which does not comply with 37 CFR §1.97 and 37 CFR §1.98 will be placed in the file, but will not be considered by the Office." MPEP §609, MPEP 8th, Rev. 1

The May 24, 2002 Information Disclosure Statement which is the subject of the present response was not marked "OK TO PLACE IN THE FILE." The May 24, 2002 Information Disclosure Statement is marked "OK TO ENTER." (emphasis added)

Still further, as the patentee argues in the October 11, 2007 request, the file record is devoid of an indication during the prosecution of the patent that the reference DE 3522110 A1 was not considered. "If an Examiner believes that an Information Disclosure Statement is not properly submitted, the proper course of action is to notify the applicant. If an item of information in an ids fails to comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98, that item of information in the ids will not be considered and a line should be drawn through the citation to show that it has not been considered. However, other items of information that do comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98 will be considered by the Examiner." (emphasis added) MPEP 8TH, Rev. 1, August 2001 The patentee received no such communication from the Office during pendency of the application which would indicate that the Office did not consider the DE 3522110 A1 reference. Rather, there is a specific indication that the Information Disclosure Statement was entered into the record.

4. Certificate of Correction grant of December 11, 2007 evidences that reference DE 3522110 A1 was considered.

By grant of the December 11, 2007 Certificate of Correction under seal the Office has indicated that it concurs that the record clearly

discloses that reference DE 3522110 A1 was considered by the Office and should have been printed on the patent.

5. Certificate of Correction of December 11, 2007 cannot be vacated because the Office does not have a basis for entering a new Certificate to vacate the properly entered and sealed December 11, 2007 Certificate.

When a sealed Certificate of Correction issues, it merges into and becomes part of the issued patent. See 35 USC §254 A new Certificate of Correction to vacate the December 11, 2007 Certificate would not be proper since Certificates of Correction can only be issued if there is clearly disclosed by the records of the Office that there was a mistake on the part of the Office. See 35 U.S.C. §254. As noted, the Office records clearly indicate that the December 11, 2007 Certificate was properly issued. As noted, the May 24, 2002 Information Disclosure Statement was properly submitted, and the patentee enjoys the presumption that a government agent has properly performed his or her job and therefore enjoys the presumption that the reference of the May 24, 2002 Information Disclosure Statement was properly considered. The presumption enjoyed by the patentee that the reference was considered is only reinforced by the prosecution record which contains the express indication that the May 24, 2002 Information Disclosure Statement was entered into the record. and is devoid of any notice or indication that the May 24, 2002 Information Disclosure Statement was not considered during the pendency of the application.

Further, the record indicates that the December 11, 2007 Certificate of Correction was entered by the Corrections Division in response to a verbal request for reconsideration by the patentee in which the patentee highlighted that a copy of a communication from a foreign patent office would satisfy the requirement for a statement under 37 CFR §1.97. As the patentee has noted in the facts section herein, the patentee's representative was notified on December 6, 2007 that the Office would be reconsidering the patentee's request in view of the patentee's argument that the 37 CFR §1.97 statement requirement was satisfied by submission of a communication from a foreign patent office. Shortly thereafter, on December 11, 2007, the sealed Certificate of Correction was properly entered into the record.

It is respectfully asserted that the Office would not be able to establish that there is a clearly disclosed error in the record regarding the Office's entry of the December 11, 2007 Certificate of Correction on December 11, 2007.

If the position of the Office is that the Certificate of Correction issued by the Office on December 11, 2007 which merged into the issued patent and bears the seal of the Office and the signature of the Director

was issued "mistakenly" by a government agent who had no authority to issue Certificates of Correction and who proceeded without any authorization by any other agent at the Office authorized to issue the Certificates, the patentee respectfully asserts that additional proof would be required to substantiate such a position, rather than merely a statement by the Office that a mistake had been made. It is assumed that substantial processes are in place so that sealed Certificates of Correction that define rights that merge into underlying patent rights are not issued "mistakenly."

On January 31, 2008, the patentee's representative George S. Blasiak engaged in a teleconference with Antonio Johnson, the official signing the January 15, 2008 correspondence (Appendix D). During the teleconference, Johnson indicated that his correspondence of January 15, 2008 had been prepared based on the content of the December 11, 2007 paper prepared by Supervisory Patent Examiner Gregory Huson, (the "Huson paper"). However, during the teleconference, Johnson did not disagree that the Huson paper failed to evidence a substantive consideration of the arguments set forth by the patentee in the October 11, 2007 Request. Specifically, Johnson did not disagree that the Huson paper failed to evidence consideration of the patentee's argument that the May 24, 2002 Information Disclosure Statement complied with the 37 CFR §1.97 statement requirement by virtue of its inclusion of a dated communication from a foreign patent Office.

Further, Johnson agreed with the observation of the patentee's representative that when a government agent intentionally, with authority per a review of the facts, and without mistake issues a Certificate of Correction, such issuance is typically not accompanied by any paper detailing the reasons why the decision to grant the Certificate of Correction was made. Accordingly, an absence of a paper indicating a reason for the grant of the December 11, 2007 Certificate of Correction (particularly given that the reasons for its grant are clear from the record) will not be regarded as negating the presumption that the Certificate of Correction was intentionally and properly granted by an authorized government agent.

6. The January 15, 2008 and January 16, 2008 communications by the Office contain *prima facie* evidence that the determination by the Office that the December 11, 2007 Certificate of Correction was entered in error was not made pursuant to a substantive investigation as to the decision making process of the Office when it properly granted the December 11, 2007 Certificate of Correction under seal.

The record reveals that the Office granted the December 11, 2007 Certificate of Correction shortly after the patentee, via telephone conference, highlighted that under rules in effect at the time of the May

24. 2002 Information Disclosure Statement, a submission of a copy of a communication from a foreign patent office satisfied the requirement for a statement under 37 CFR §1.97. However, neither of the January 15, 2008 nor January 16, 2008 communications by the Office even acknowledge the argument by the patentee that, under rules then in effect, a copy of a communication from a foreign patent office satisfied the 37 CFR §1.97 statement requirement. Both of the January 15, 2008 and January 16, 2008 communications by the Office merely conclude, without comment on the patentee's arguments that the requirements under 37 CFR §1.97 were not satisfied and curiously mention that a fee requirement was not satisfied even though a deposit account acknowledgement was provided in the May 24, 2002 Information Disclosure Statement. Because neither of the January 15, 2008 nor January 16, 2008 communications by the Office even acknowledge the argument of the patentee that a copy of a communication from a foreign patent office satisfied the CFR §1.97 statement requirement the January 15, 2008 and January 16, 2008 communications by the Office are prima facie evidence that the Office has not substantively investigated whether the December 11, 2007 Certificate of Correction was properly granted.

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The January 15, 2008 communication by the Office mentions that a denial with reference to the patentee's October 11, 2007 request was forwarded to the Corrections Division on December 11, 2007. However, if the Office is making the above statement based on a paper dated December 11, 2007 by Supervising Primary Examiner Gregory Huson (the "Huson paper"), the Office will notice that the Huson paper like the January 15, 2008 and the January 16, 2008 communications, does not even acknowledge the patentee's 37 CFR §1.97 argument that a copy of a communication from a foreign patent office satisfied the 37 CFR §1.97 statement requirement and thus cannot reasonably be taken as evidence of substantive review either of the file record or of the patentee's October 11, 2007 request. As it does not even acknowledge the patentee's 37 CFR §1.97 argument, the December 11, 2007 paper by the Supervising Primary Examiner cannot reasonably be taken to be in accordance with the Office's statement that it would be considering the patentee's CFR §1.97 argument.

7. A new Certificate of Correction vacating the December 11, 2007 Certificate of Correction cannot be issued because the patentee has not been given an opportunity to be heard.

A Certificate of Correction vacating the proper December 11, 2007 Certificate of Correction would not be proper for the further reason that the Office has not afforded the patentee the opportunity to be heard. Under 37 CFR §1.322(a) (4) the Office must provide a patentee with an opportunity to be heard prior to entering an adverse Certificate of Correction: "The Office will not issue a certificate of correction under this

Docket No.: 967_012

section without first notifying the patentee (including any assignee of record) at the correspondence address of record as specified in § 1.33(a) and affording the patentee or an assignee an opportunity to be heard." However, the Office has not provided the patentee with such an opportunity. While the January 15, 2008 and January 16, 2008 communications provide notice of a possible new Certificate, the Office has not provided the patentee an opportunity to be heard. Neither the January 15, 2008 or January 16, 2008 communication establish a deadline for response, and in fact the January 15, 2008 communication discourages a response: "No further response is required, from applicants (attorney)."

III. Request for Relief

Accordingly, in view of all of the above, the Certificate of Correction entered into the record December 11, 2007 under seal was correct and proper.

The patentee accordingly respectfully requests the Office to enter communication(s) on the record indicating that (1) the communications by the Office of January 15, 2008 and January 16, 2008 were in error and (2) that the December 11, 2007 Certificate of Correction will remain in force.

The patentee's representative notes that various communications by the Office since the time of the patentee's October 11, 2007 Certificate of Correction request (except for the properly granted December 11, 2007 Certificate of Correction), evidence that the Office fails to substantively consider the file record and the arguments presented by the patentee. Specifically, in various communications, the Office fails to acknowledge the patentee's argument that, at the time of the May 24, 2002 Information Disclosure Statement, a copy of a communication from a foreign patent office could be submitted as satisfaction of the requirement for a statement. Notwithstanding the clarity with which the patentee has established its position, (in the request of October 11, 2007, and in telephone conferences with Office representatives thereafter), each of the January 15, 2008 and January 16, 2008 communications includes the simple assertion that the May 24, 2002 Information Disclosure Statement lacked a statement under 37 CFR §1.97 without any discussion whatsoever as to why the patentee's submission of a communication from a foreign patent office was not taken in satisfaction of the 37 CFR §1.97 statement requirement. The Office's January 15, 2008 and January 16, 2008 communications also include the curious statement by the Office that a fee requirement respecting the May 24, 2002 Information Disclosure Statement was not satisfied without any discussion of the patentee's argument that the fee requirement was satisfied by the patentee's deposit account authorization.

If the present communication by the patentee is not substantively responded to by the Office, the record will reflect that the Office concurs that the December 11, 2007 Certificate of Correction was properly issued.

Application No. 09/901,359 Response dated February 12, 2008 Response Under 37 CFR §1.322 Docket No.: 967_012

The patentee believes no fee is due with this response. However, the Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to deposit Account No. 50-0289.

Dated: February 12, 2008

GSB/slp

Respectfully submitted,

George S. Blasiak

Registration No.: 37,283

MARJAMA MULDOON BLASIAK & SULLIVAN LLP

250 South Clinton Street

Suite 300

Syracuse, New York 13202

(315) 425-9000

Customer No. 20874

List of Appendices

- A. Sealed Certificate of Correction dated December 11, 2007
- B. Communication by Mary Diggs dated November 30, 2007
- C. Communication by Gregory Huson dated December 11, 2007, (but prior to the December 11, 2007 Certificate)
- D. Communication by the Office dated January 15, 2008
- E. Communication by the Office dated January 16, 2008
- F. Request for a Certificate of Correction dated October 11, 2007

Exhibit A

PAIR Headings dated October 1, 2007

Exhibit B

The DE 35 22 110 A1 reference submitted in the May 24, 2002 Information Disclosure Statement along with a communication from a foreign patent Office dated März (March) 26, 2002 which was appended to the DE 35 22 110 A1 reference submitted in the May 24, 2002

Information Disclosure Statement.

G. Conforming Information Disclosure Statement cover page dated May 24, 2002

Appendix A

UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. **APPLICATION NO. : 09/901359**

: 6,434,763 B2

DATED

: August 20, 2002

INVENTOR(S)

: Toshiaki Kawakami

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, item Col. 2

(56) References Cited

U.S. PATENT DOCUMENTS

After the last Cited Reference "6,230,345 B1 * 5/2001 Borrero et al." Please insert --FOREIGN PATENT DOCUMENTS

DE 3522110 A1 6/1985--



Signed and Sealed this

Page 1 of 1

Eleventh Day of December, 2007

JON W. DUDAS Director of the United States Patent and Trademark Office

Appendix B



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY OF COMMERCE AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Patent No. : 6,434,763

Inventor(s): Toshiaki Kawakami

Issued: August 20, 2002

For: SEAT SUPPORTING TABLE FOR A BARBER OR BEAUTY CHAIR AND

HAIR WASHER WITH THE SEAT SUPPORTING TABLE

Re. Request for Certificate of Correction

Consideration has been given your request under the provisions of Rule 1.322.

The alleged error on the title page, item [56] under U.S. Patent documents at the last reference cited was filed 5/24/02 (per the certificate of mailing), which is more than three months after the filing date of the application, and later than the Notice of Allowance mailed 3/11/02. The IDS was not accompanied by a statement or fee as required by 37 CFR 1.97 in these circumstances. Thus, the IDS will not be considered, and the omission of the reference is proper

In view of the foregoing, your request is hereby denied.

Further correspondence concerning this matter, should be directed to:

U.S. Patent and Trademark Office
Washington, DC 20231
Attn: Decisions & Certificates of Correction Branch

For Mary Diggs
Decisions & Certificate
of Correction Branch
(703) 308-9390 ext.124 or 125

George S. Blasiak
Marjama Muldoon Blasiak & Sullivan
250 South Clinton Street, Suite 300
Syracuse, New York 13202

Appendix C

SPE RESPONSE FO	R CERTIFICATE OF CORRECTION
DATE	/11/2007 Paper No.:
TO SPE OF : ART UNIT 375/	
SUBJECT : Request for Certificate of Corre	ction on Patent No.: <u>6434763 182</u> 09/901357
A response is requested with respect to the a	ccompanying request for a certificate of correction.
Please complete this form and return with Palm location 7580, Certificates of Corre	file, within 7 days to:
If response is for an IFW, return to emplo MADRAS.	byee (named below) via PUBSCofC Team in
With respect to the change(s) requested, compatent read as shown in the certificate of comshould the scope or meaning of the claims be char	ecting Office and/or Applicant's errors, should the ection (COCIN)? No new matter should be introduced, nor
Heldrich set	Blames
Thank You For Your Assistance	Certificates of Correction Branch
Attorney & anders wort &	Tal No. 702 208 0200
The request for issuing the above-identic Note your decision on the appropriate box. Approved	ified correction(s) is hereby: All changes apply.
☐ Approved in Part	Specify below which changes do not apply.
Denied	State the reasons for denial below.
Comments:	
 application, and later than the 3/11/02. The IDS was not acfee as required by 37 CFR 1 	per the certificate of mailing), on the after the filing date of the end of the end of the end of the end of the ecompanied by a statement or end of the e
JECHROLOGI CLIVILIT 3700	SPE Art Unit

Appendix D



January 15, 2008

MARJAMA MULDOON BLASIAK & SULLIVAN LLP 250 SOUTH CLINTON STREET

SUITE 300

SYRACUSE NY 13202

Patent No.

: 6,434,763 B2

Inventor(s)

: Adrian Toshiaki Kawakami

Issued

: August 20, 2002

For

SEAT SUPPORTING TABLE FOR A

BARBER OR BEAUTY CHAIR AND HAIR WASHER WITH THE SEAT SUPPORTING

TABLE

Doc. No. 967 012

To Whom It May Concern:

The Certificate of Correction issued on December 11, 2007, issued in error, in that error(s) was made in identifying the patent number and/or keying text/corrections, i.e.:

The certificate of correction inserting Foreign Patent Document, DE 3522110 A1, was issued in error. On December 11, 2007, the supervisory patent examiner of record for patented file forwarded decision to our branch denying the request for certificate of correction. The office mistakenly issued certificate of correction adding the Foreign Patent Document, DE 3522110 A1, on December 11, 2007. The IDS was filed 05/24/2002(per the certificate of mailing), which is more than three months after the filing date of the application, and later than the Notice of Allowance mailed 03/11/2002. The IDS was not accompanied by a statement or fee as required by 37 CFR 1.97(d) in these circumstances. Thus, the IDS will not be considered, and the omission of the reference is proper.

A certificate of correction is being prepared to vacate certificate of correction issued December 11, 2007 from issued patent. The request for certificate of correction filed 10-11-2007 has been denied(letter attached) and no certificate of correction should have been issued to add the Foreign Patent Document reference DE 3522110 A1.

No further response is required, from applicants (attorney). However, errors discovered by attorney, other than as noted and described above, should be noted on a copy of the Certificate of Correction that was issued in error, accompanied by a signed transmittal letter and submitted directed to this Branch.

Antonio Johnson (703) 308-9390 ext. 111 For Mary F. Diggs, Supervisor Decisions & Certificates of Correction Branch

Appendix E





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY OF COMMERCE AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Patent No. : 6,434,763 B2

Inventor(s): Toshiaki Kawakami

Issued: August 20, 2002

For: SEAT SUPPORTING TABLE FOR A BARBER OR BEAUTY CHAIR AND

HAIR WASHER WITH THE SEAT SUPPORTING TABLE

Re. Request for Certificate of Correction

Consideration has been given your request under the provisions of Rule 1.322.

The alleged error on the title page, pertaining to the IDS was filed 5/24/02 (per the certificate of mailing), which is more than three months after the filing date of the application, and later than the Notice of Allowance mailed 3/11/02. The IDS was not accompanied by a statement or fee as required by 37 CFR 1.97(d) in these circumstances. Thus, the IDS will not be considered, and the omission of the reference is proper

In view of the foregoing, your request is hereby again denied.

Further correspondence concerning this matter, should be directed to:

U.S. Patent and Trademark Office Washington, DC 20231 Attn: Decisions & Certificates of Correction Branch

For Mary Diggs
Decisions & Certificate
of Correction Branch
(703) 308-9390 ext.124 or 125

Marjama Muldoon Blasiak & Sullivan 250 South Clinton Street Suite 300 Syracuse NY 13202

ej

Appendix F

I hereby centify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, No. EM154107250US, on the date shown below in an envelope addressed to: Certificate of Corrections Branch, Comp. Alexandria, VA 22313-1450. Pateris, P.O. Box-1450.

Dated: October 11, 2007

Docket No.: 967 012

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toshiaki Kawakami

Application No.: 09/901,359

Filed: July 9, 2001

For: SEAT SUPPORTING TABLE FOR A

BARBER OR BEAUTYCHAIR AND HAIR WASHER WITH THE SEAT SUPPORTING

TABLE

Confirmation No.: 5346

Art Unit: 3751

Examiner: Charles R. Eloshway

REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. § 1.322

Certificate of Corrections Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please see remarks which begin on page 2.

REMARKS

Receipt of the above-identified patent is hereby acknowledged. The present patent has been subject to an application for re-issue (re-issue application no. 10/922,266). However, the noted re-issue application has not been granted. Until a re-issue application is granted, the original patent shall remain in effect. See MPEP §1416, 37 CFR § 1.178

In checking the original patent against our file, however, (1) one minor error was noted. One cited reference was omitted. This reference was cited in a conforming Information Disclosure Statement (IDS) filed May 24, 2002 prior to payment of the Issue Fee paid June 11, 2002.

The present Request for Certificate of Correction is a re-file of the Certificate of Correction Request dated October 11, 2002 ("the first Request"). The first Request was denied for the reason that the May 24, 2002 IDS allegedly lacked a required communication by the applicant under 37 C.F.R. § 1.97(e) and did not include a required fee.

On further review of the prosecution history, the applicant has noted that the IDS filed May 24, 2002 fully complied with applicable provisions of the Manual of Patent Examining Procedure (MPEP) in effect at the time the May 24, 2002 IDS was filed, and by mistake of the Office, was not indicated to be considered by the Office.

Under the MPEP 8th, Revision 1, in effect at the time of filing of the May 24, 2002 IDS, a copy of a dated communication from a foreign patent office could be submitted in lieu of the referenced required communication by the applicant under 37 C.F.R. §1.97(e):

If an IDS includes a copy of a dated communication from a foreign patent office which clearly shows that the statement is being submitted within 3 months of the date of the communication, the copy will be accepted as the required communication. It will be assumed, in the absence of evidence to the contrary, that the communication was for a counterpart foreign application.

Docket No.: 967_012

In the alternative, a statement can be made if no item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, neither was it known to any individual having a duty to disclose more than 3 months prior to the filing of the statement. (*Emphasis added*) MPEP 609, 8th, Rev. 1, August 2001

Regarding the requirement for a dated communication from a foreign patent office, a communication from a foreign patent office clearly dated März (March) 26, 2002 and received April 12, 2002 was appended to the DE 35 22 110 A1 reference with the May 24, 2002 IDS filing. The Office's attention is directed to the entry identified by "06-11-2002 FOR <u>Foreign Reference</u>" in the Patent Application Information Retrieval (PAIR) database (the PAIR Headings are attached as Exhibit A and a printout of the noted submission as it is presented in the official file wrapper is attached as Exhibit B).

Regarding the requirement for a fee, the May 24, 2002 IDS included the statement: "The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to deposit account no. 50-0289."

Further to the above, while applicants were (erroneously) informed of an alleged deficiency in the May 24, 2002 IDS after issuance, applicant received no notice respecting any deficiency of the May 24, 2002 IDS during the pendency of the application. Under MPEP §609, in effect at the time of the May 24, 2002 IDS, an Examiner's duty with respect to IDSs was as follows:

If an item of information in an IDS fails to comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98, that item of information in the IDS will not be considered and a line should be drawn through the citation to show that it has not been considered. However, other items of information that do comply with all the requirements of 37 CFR 1.97 and 37 CFR 1.98 will be considered by the examiner. (Emphasis added) MPEP 8th, Rev. 1, August 2001

Applicant did not receive any returned paper having a line drawn through the DE 35 22 110 A1 citation between the time the May 24, 2002 IDS was filed, and the

Docket No.: 967_012

time, almost three full months thereafter, that the patent issued (the patent issued on August 20, 2002). The lack of notice by the Office during the pendency of the application indicated that the Office correctly regarded the May 24, 2002 IDS to be in compliance with applicable provisions of the MPEP in effect at the time the May 24, 2002 IDS was filed.

In view of the above, the May 24, 2002 IDS complied with applicable provisions of the MPEP in effect at the time of the filing of the May 24, 2002 IDS.

It is, therefore, requested that a Certificate of Correction be issued as per the attached form PTO/SB/44 submitted herewith in duplicate. By grant of the present Request the Office will confirm that the DE 35 22 110 A1 reference submitted with the May 24, 2002 IDS has been considered.

If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0289, under Order No. 967_012 from which the undersigned is authorized to draw.

Dated: October 11, 2007

Respectfully submitted,

George S. Blasiak

Registration No.: 37,283

MARJAMA MULDOON BLASIAK & SULLIVAN LLP

250 South Clinton Street

Suite 300

Syracuse, New York 13202

(315) 425-9000

Customer No. 20874

GSB/slp

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO.

6,434,763

APPLICATION NO.

09/901,359

ISSUE DATE

August 20, 2002

INVENTOR(S)

Toshiaki Kawakami

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

FACE OF THE PATENT

(56) References Cited

U. S. PATENT DOCUMENTS

After the last Cited Reference "6,230,345 B1 * 5/2001 Borrero et al." Please insert FOREIGN PATENT DOCUMENTS

DE 3522110 A1 6/1985

Transmittel

I hereby certify that this paper (along with any paper referred to as berig attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, No. EM154107250US, on the date shown below in an envelope addressed to: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 11, 2007

(Susan Pagano)

MAILING ADDRESS OF SENDER (Please do not use customer number below): George S. Blasiak MARJAMA MULDOON BLASIAK & SULLIVAN LLP 250 South Clinton Street Suite 300 Syracuse, New York 13202

EXHIBIT A



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	07-09-2001	DRW	taravings	PROSECUTION	4
	07-09-2001	SPEC	Specification	PROSECUTION	9 :
	07-09-2001	CLM	Claims	PROSECUTION	2
	07-09-2001	ABST	Abstract	PROSECUTION	1 71
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Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EUC@uspip.gov for specific questions about Patent Application Information Retrieval (PAIR).
 Send general questions about USPTO programs to the USPTO Contact Center (UCC).
 If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1800-786-9199.

EXHIBIT B

PATENTAMT

(2) Aldenzeichen: Anmelderag:

P 35 22 110.0 20. A.M

(a) Offenlagungetag:

2 1.87

(3) Unionspelorität: (2) (3) (3) . 18.09.85 EP

Anmeider

Jobst, Fritz, 7070 Schwäblsch-Gmünd, DE

@ Erftoder:

gleich Anmelder

Weschsessel für Priseure

Der Waschssezel dern zum Waschen der Kopffigere. Durch Verschieben der Sterffehle mach vom und unten wird der Abstand zwischen Waschbeden und Sterffiche vergrö-Bert. Dedurch let man in der Lege. Merschen verschiede Größe in diesem Bassel zu weschen.

Petentenspriiche

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2) Nach Ampruch 1) dadurch gehennseichnet daß die we dere Führungsbahn watgerecht ist.

2) Nach Ampruch 1) mid 2) daß der Ströllichen- 18 reiger 3 Führungsbahnen hat (3 Funkt-Auflage).

4) Nach Ampruch 1) 2) und 1) daß der Ströllichen- denbräger sus Suhhohr angeferigt ist.

5) Nach Ampruch 1) 2) 3) und 4) daß der Ströllichen untger aus Ahminium sogsfertigt ist.

6) Nach Ampruch 1) 2) 1) 4) und 1) daß der Ströllichenträger sus U-Profil angefertigt ist.

Beschreibung

Allgemeines

Durch die unterschiedliche Größe der Mauschen muß die Höhe zwischen Sittsläche und Waschbecken muß die Höhe zwischen Sittsläche und Waschbecken verstellbar zein. Der Höhenunterschied wird durch ich zu pen, verschieben oder durch eine Höhenverstellung den Wasthbeckens erreicht. Denselben Zweck exreicht man durch verschieben der Sittslächen in hartmutaler oder dereichtzeitige Verschiebung nach vorne und anten. Eine weitere Mitglichkeit zu ist die Höhenverstellung der Situsläche Durch des Verschieben wird der Oberkärper aus der vertflaßen in eine Schräging gehracht. Dachreh vergrößert sich der Abstand zwischen Sitzsläche und Waschbecken.

Nachado

Der Nachteil der Höhenverstellung sind bewegliche Schläsche und eine größers Arbeitsgenaufgheit (Fühsung) Bei kippbaren Waschbecken kann man in der unstren Stellung die Haare nicht gemigend bearbeiten. Waschennel mit verschiebbarer Sitzfälche und 4 Gleitpunkten haben alle eine gleitende Führungsbahn. Durch
die Betenigung des Führungsbahmrägers auf dem Fußboden, der nie eben ist, eristehen Spannungen. Der Pührungsbahnräger umb deshalb stahlt sein, um die Spanmugen aufmangen. Durch verschieben der Sierfüsche
nach vurn und achräg unzen vint die Beinstellung bei
größeren Menschen ungfantig verändere. Der Schankei
liegt nicht mehr auf der Sitzfälche auf.

Löning der Anfgabe und Beschreibung des Waschsenels

Literange

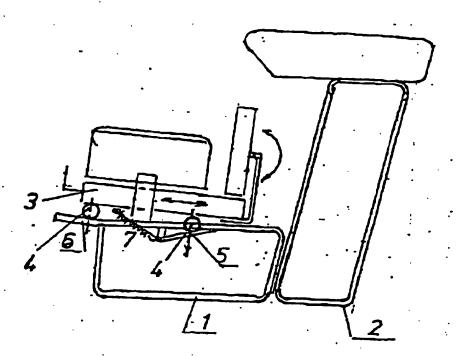
Durch eine 3-Punkt und rollenda Führung feum man eine leichne Konstruktion verwenden. Auch bei mebenem Fußboden hippt die Straffiche nicht. Die Führungbehmen für die Straffiche sind klauen auch unten und vorm wasgrecht oder meh oben geneigt. Beim Verschieben der Sitzfische zeigt die hintere Kante auch unten und die vordere Kante nach aban. Durch diese Bewegung wird die Schenkelhöhe größer und ein angenebmeret Sinzen erwicht. Die verschiedenen Winkelstaften gen der Führungsbahnen — hinten nach unten und vorm wasgrecht, oder meh oben — kompensierunden Druck des Kärpergewichtes uns, sodaß nur eine schwache Zugleder zum Gewichtsspragleich benötigt wird.

Beach eibung:

Der Waschmassel besteht aus Stirflichentriger (1) und Waschbackentriger (2). Beide sind mit Schrauben und Waschbackentriger (2). Beide sind mit Schrauben verbunden. Auf dem Skriftschentriger bewegt sich verschleber eine Staffliche(3), en der Rollen(4) beforeigt sind. Diese Rollen führen beim Vorgwärtsachleben auf der Führungsstange(5); die hintere Staffliche nach eine der Wasgerecht Eine Zugleder (7) zieht die Staffliche in die Ausgangestellung surficie.

Nummer: Int. CI.4: Anmeldetag: Offenlagungstag:

25 22 110 A 47 C 1/04 20, Juni 1985 2. Januar 1987



ORIGINAL INSPECTED

903 851/17

TRANSLATION OF THE OFFICE ACTION Dated April 12, 2002 P33020-114/ky

Seat support table known, on which a hairdresser chair (3) is detachable, fixed, whereby the seat support table is attached to a moving part (4), which can be moved in the direction of the back rest of the hairdresser chair (3) to the front and back, and the moving part (4) has a fixation part for fixation of the hair dresser chair (3). (Compare description and figure.)

Therefore all the features of the claim 1 are known. Claim number 1 is therefore not new.

From DE 3522 110, there is already a hair washing station known, with a bowl, which has a neck rest part for the resting of the neck of a person which has to be washed, a standing part (2) for the support of the bowl and a support part (1) for the seat support table, thereby one end is affixed to the support part (2) and the other end is fixed to the seat support table, on which the hairdresser chair (3) is detachable fixed, thereby the seat support table being ixed to a moving part (4), whereby the moving part (4) can slide in the direction of the back est on the hairdresser chair (3) to the front and to the back, and the moving part (4) having infixation part for the fixation of the hairdresser chair. (Compare figure).

herefore, all the features of the additional claim 4 are already known. Claim number 4 is 1 herefore not new. Therefore, claim 4 cannot be granted.

The other claims cannot be granted because of formal causes, as the independent claims 1 and 2, on which the dependent claims 2, 3, 5 and 6 are relied to, are not grantable.

For the claims 2,3,5 and 6, the Offenlegungsschrift DE 3522 110 is relevant. The moving part which can be moved along the guiding rails (5) and (6), for the guidance of the sledge of the moving part, and the device for the controlling of the moving force (7), for the control of the movement of the moving part, is not new according to the figure of DE 3522 110. The fit allon part, the fixation of the hairdresser chair (3) which is characterized by a protrusion (1), which engages an engagement part on the back part of the hairdresser chair (3), is not not we according to the figure of the Offenlegungsschrift 3522 110. It is also not new that the moving part can be moved in a wanted position along the guiding rails (5) and (6) for the guidance of the sledge of the moving part and the existence of a device for the controlling of

a moving part is also not new. According to the figures of DE 3522 110, the fixation part with the protrusion part (1), which can be engaged by the engaging part is also not new.

Therefore the patent cannot be granted.

The Examiner of Class A 47C

Deutsches Patent- und Markenamt

München, den 26. März 2002

Telefon: (0 89) 21 95 - 2729

Aktenzeichen: 101 32 852.4-14

Deutsches Patont- und Markenemt · 80297-Monchen

Grünecker, Kinkeldey,

Stockmair & Schwanhäusser

Anwaltssozietat Maximillanstr. 58

80538 München

GHÜNECKER, KIMMELLEY, CTURKING & SCHNANNAUSER ANWALTSSCZIETÁT

12 April 2002

40.8.61 FRIST TERM EINGANG

Ochiro Works, Ltd.

Anmelder:

thr Zeichen: P33020-001/iw

Bitte Aktenzeichen und Anmeider bei allen Eingaben und Zahlungen angeban

Zutreffendes ist angekraust 🗵 und/oder ausgefüllt

Prüfungsantrag, wirksam gestellt am 6. Juli 2001

Eingabe vom

eingegangen am

Die Prüfung der oben genannten Patentanmeldung hat zu dem nachstehenden Ergebnis geführt. Zur Äußerung wird eine Frist von

4 Monat(en)

gewährt, die mit der Zustellung beginnt.

Für Unterlagen, die der Außerung gegebenenfalls beigefügt werden (z.B. Beschreibung, Beschreibungsteile, Patentansprüche Zeichnungen), sind je zwei Ausfertigungen auf gesonderten Blättern erforderlich. Die Außerung selbst wird nur in einfache

Werden die Beschreibung, die Patentansprüche oder die Zeichnungen im Laufe des Verfahrensigeendert, so hat der Anmelder sofem die Anderungen nicht vom Deutschen Patent- und Markenamt vorgeschlagen sind, im Einzelnen anzugeben, an welche Stelle die in den neuen Unterlagen beschriebenen Erfindungsmerkmale in den ursprünglichen Unterlagen offenberf sind.

Anlagen: Abl. von 1 Entgegenhaltung 2-fach

Hinwels auf die Möglichkeit der Gebrauchsmusterabzweigung

Der Anmekter einer nach dem 1. Januar 1987 mit Wirkung für die Bundeerepublik Deutschland eingereichten Patentanmeldung kann eine Gebrauchsmusteranmeldung, die den gleichen Gegenstahd betrift, einreichen und gleichzeitig den Anmakistag der früheren Petentanmektung In Anapruch nehmen. Diese Abzweigung (§ 5 Gebreuchsmustergesett) ist bis zum Abfauf von 2 Monaten nach dem Ende des Monate möglich. in dem die Patentarinoldung durch rechtsträftige Zurückveisung, freiwillige Rückrahme oder Rückmahmefisten ertedigt, ein Einspruchsverfahren abgeschlossen oder - im Falle der Erteilung des Potents - die Frist für die Beschwarde gegen den Erteilungsbeschlusse fruchtige versitichen ist. Ausführliche Informationen über die Erfordemisse einer Gebreuchamusteranmeldung, einschließlich der Abzweigung, enthāti das Meridzau für Gebrauchsmusteranmelder (G 6181), welches kostenios beim Palant-Markenamt und den

Annahmestelle und Nachtbriefkesten Zweibrückenstraße 12

- 38 Hattostelle (sertor

52 Maltastello Fas

Bus 28 / 99 (ab S-Bannhof Glesing) Mattestello Cin

1) DE 35 22 110 U1A

Aus der Entgegenhaltung 1 ist bereits ein Sitzauflagetisch bekannt, an dem ein Frisor- oder Kosmetikstuhl 3 lösbar befestig bar ist, wöbei der Sitzauflagetisch mit einem Verschiebeteil 4 versehen ist, welches in Richtung einer Sitzrückfläche des zu befestigenden Frisor- oder Kosmetikstuhles 3 nach vome und hinten gleitet, und der Verschlebeteil 4 mit einem Befestigungsabschnitt zur Befestigung des Frisor- oder Kosmetikstuhles 3 versehen ist (vgl. Beschreibung und Figur).

Hiemach waren sämtliche Merkmale des geltenden Anspruchs 1 bekannt. Der Anspruch 1 ist daher mangels Neuheit seines Gegenstandes nicht gewährbar.

Aus der Entgegenhaltung 1 ist auch bereits eine Haarwaschstation bekannt, mit einem Kugelteil, das mit einem Nackenaufnahmeabschnitt zur Aufnahme eines Nackenabschnittes einer zu waschenden Person versehen ist, einen Beinabschnitt 2 zum Abstützen des Kugelabschnittes und einen Stützarm 1 für den Sitzauflagetisch, dessen eines Ende am Beinabschnitt 2 befestigt ist und dessen anderes Ende mit einem Sitzauflagetisch versehen ist, an dem ein Erlsör- oder Kosmetikstuhl 3 lösbar anbringbar ist, wobei der Sitzauflagetisch mit einem Verschlebeteil 4 versehen ist, welches in Richtung einer Rückenlehne des anzubringenden Erisör- oder Kosmetikstuhles 3 nach vorne oder hinten gleitet und der Verschiebeteil 4 mit einem Befestigungsabschnitt zur Befestigung des Erisör- oder Kosmetikstuhles versehen ist (vgl. Fig.).

Hiemach waren auch sämtliche Merkmale des nebengeordneten Anspruchs 4 bekannt. Der Anspruch 4 ist daher ebenfalls mangels Neuheit seines Gegenstandes nicht gewährbar.

Die Unteransprüche können schon aus formalen Gründen nicht verbleiben, da die Ansprüche, auf die sie rückbezogen sind, nicht gewährbar sind.

Zu den Ansprüchen 2und 3 sowie 5 und 6 wird auf die Entgegenhaltung 1 verwiesen, da diese bereits zeigt:

- a) dass das Verschiebeteil in eine gewünschte Position entlang einer Führungsschiene5,6 zur Führung eines Schlittens des Verschlebeteils verschoben wird und eine Verschiebekraftsteuereinrichtung 7 zur Steuerung der zum Verschieben des Verschiebeteils notwendigen Verschiebekraft vorgesehen ist (vgl. Fig.),
- b) dass der Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhls
 3 als ein Vorsprung 1 ausgestaltet ist, der mit einem Eingriffsabschnitt an einer rückwärtigen Fläche des Frisör- oder Kosmetikstuhles 3 in Eingriff gelangt (vgl. Fig.),
- c) dass der Verschiebetell in eine gewünschte Position entlang einer Führungsschiene 5,6 zur Führung eines Schlittens des Verschiebeteils verschoben wird und eine Verschiebekraftsteuereinrichtung 7 zur Steuerung der zum Verschieben des Verschiebeteils benötigten Verschiebekraft umfasst (vgl. Fig.), und
- d) dass der Befestigungsabschnitt zur Befestigung des Frisör- oder Kosmetikstuhls 3 als ein Vorsprung 1 ausgestaltet ist, der mit einem an einer rückwärtigen Flache des Frisör- oder Kosmetikstuhls 3 vorgesehenen Eingriffsabschnitt in Eingriff gelangt (vgl. Fig.).

111.

Bei der gegebenen Sachlage kann ein Patent nicht erteilt werden. Auf die am Anfang dieses Bescheides vorgedruckte Auflage wird besonders hingewiesen.

Prüfungsstelle für Klasse A47C

Maroll

2002年 5月24日(金) 19:4...

● 9:26/文醫号3803823194 P 3

P 33 020 - ba

May 22, 2002

TRANSLATION INTO ENGLISH OF:

German Offenlegungsschrift 35 22 110 A1:

Date of filing : June 20, 1985

Applicant

: Jobst, Fritz

Serial No.

: P 35 22 110.0

Claims

- 1.) A seating surface support, characterized in that the rear guideways for the seat rollers are inclined at an oblique angle downwards and the front guideways for the seat rollers are inclined at an oblique angle upwards in a forward direction.
- 2.) A seating surface support according to claim 1, characterized in that the front guideway is horizontal.
- 3.) A seating surface support according to claims 1 and 2, characterized in that the seating surface support has three guideways (three-point support).
- 4). A seating surface support according to claims 1, 2 and 3, characterized in that the seating surface support is made of steel tubes.
- 5.) A seating surface support according to claims 1, 2, 3 and 4, characterized in that the seating surface support is made of aluminium.
- 6.) A seating surface support according to claims 1, 2, 3, 4 and 5, characterized in that the seating surface support consists of a U-profile.

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Description

General Aspects

Due to the fact that human beings are not equally tall, the height between the seating surface and the washbasin must be adjustable. The difference in height is obtained by tilting, displacing or vertically adjusting the washbasin. The same aim is achieved by displacing the seating surface in a horizontal or circular-arc position or by displacing it simultaneously forwards and downwards. Another possibility is a vertical adjustment of the seating surface. By means of the displacement, the upper part of the body is moved from a vertical to an inclined position. This has the effect that the distance between the seating surface and the washbasin becomes larger.

Drawbacks

Drawbacks of vertical adjustment are movable hoses and the necessity of a higher operating accuracy (guidance). In the case of tiltable washbasins, the half cannot be treated sufficiently at the lower position. Hairwash seats having a displaceable seating surface and 4 sliding points all have a sliding guideway. Due to the fact that the guideway support is fixed to the floor, which is never even, stresses are generated. The guideway support must therefore be stable so as to absorb the stresses. When the seating surface is displaced to the front and at an oblique angle downwards, the position of the legs of tall persons changes in a disadvantageous manner. The thigh no longer rests on the seating surface.

Solution of the task and description of the hairwash seat

Solution:

Due to the use of a three-point and roller-type guidance, a light-weight construction can be employed. The seating surface will not tilt – not even in the case of uneven floors. The guideways for the seating surface are inclined downwards at the rear, and at the front they are horizontal or inclined upwards. When the seating surface is being displaced, the rear

2002年 5月24日(金)19:20/文献号3803823194 P 5

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edge moves downwards and the front edge upwards. Due to this movement, the thigh height is increased and the person on the seat will sit more comfortably. The various angular positions of the guideways – downwards at the rear and horizontal or upwards at the front – compensate the pressure caused by the body weight so that tension spring will be required for weight compensation.

Description:

The hairwash seat comprises a seating surface support (1) and a washbasin support (2). The two components are connected by means of screws. A seating surface (3), which has rollers (4) secured thereto, moves displaceably on the seating surface support. When advanced on the guide bar (5), these rollers move the rear seating surface downwards, and, when advanced on the guide support (6), they move the front seating surface upwards or in a horizontal direction. A helical tension spring (7) draws the seating surface back to its starting position.

Abstract

Hairwash seat for hairdressers

The hairwash seat serve to wash a person's hair. By displacing the seating surface forwards and downwards, the distance between the washbasin and the seating surface will be enlarged. Hence, this seat can be used for washing the hair of persons of different heights.

Appendix G

967 012

COPY OF PAPERS ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Toshiaki Kawakami

Ser. No.: 09/901,359

Art Unit: 3751

Filed: July 9, 2001

Examiner: Charles R. Eloshway

For:

SEAT SUPPORTING TABLE FOR A BARBER OR BEAUTY CHAIR AND HA

WASHER WITH THE SEAT SUPPORTING TABLE

Assistant Commissioner for Patents Washington, DC 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Assistant Commissioner for Patents, Washington D.C. 20231, on May 24, 2002.

INFORMATION DISCLOSURE STATEMEN OK to Enter

Sir:

Pursuant to 37 C.F.R. §1.56 and 37 C.F.R. §§1.97, 1.98,the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached Form PTO-1449. A copy of the reference listed on Form PTO-1449 is attached.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §1.104(a) and §1.105 concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution . of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289.

Respectfully submitted,

Wall, Marjama & Bilinski LLP

May 24, 2002

Date

Peter J. Bilinski

Reg. No. 35,067

PJB/sca

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20874

PATENT TRADEMARK OFFICE

EXHIBIT III

UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.

: 6,434,763 B2

Page 1 of 1

APPLICATION NO. : 09/901359

DATED INVENTOR(S) : August 20, 2002 : Toshiaki Kawakami

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

This certificate supersedes the Certificate of Correction issued December 11, 2007. The Certificate of Correction is to be vacated, since foreign patent document has not been considered by the examiner of record. Therefor

"FOREIGN PATENT DOCUMENTS

DE 3522110 A1

6/1985" is to be deleted.

967-012



Signed and Sealed this

Twenty-ninth Day of April, 2008

JON W. DUDAS Director of the United States Patent and Trademark Office

EXHIBIT IV

SPE RESPONSE FOR CERTIFICATE OF CORRECTION						
	DATE	: February 26, 2008		Paper No.:		
	TO SPE OF	: ART UNIT				
٠	SUBJECT	: Request for Certificate of Correction fo	r Appl. No.: 09/10/359 Paten	1No.: 6434763 BZ		
	Please resp	ond to this request for a certifica	te of correction within 7 days.			
	the IFW app	ew the requested changes/correct dication image. No new matter s the claims be changed.				
	using docum	plete the response (see below) a nent code COCX.		onse to scanning		
SI	hould coc	-IN Lated February 12,7	was be approved;			
Too	courties	to all informer &	Heigh Palent locumen	e previously		
ye!	ned (see s	SPE Responsed Lated Decen	Ler 11:2007) (Intron	Plansan		
144 11019	ge engen	resenting, documentation has why reference	Gertificates of Co	rection Branch		
	Thank You	For Your Assistance				
The request for issuing the above-identified correction(s) is hereby: Note your decision on the appropriate box.						
	o	Approved	All changes apply.			
	0	Approved in Part	Specify below which changes d	o not apply.		
	×	Denied	State the reasons for denial bel	ow.		
comments: The IDS was filed 5/24/02 (per the certificate of mailing), which is more than three months after the filing date of the application, and later than the Notice of Allowance mailed 3/11/02. The IDS was not accompanied by a statement or						
•		Thus, the IDS will not h	FR 1.97(d) in these circle considered, and the c	cumstances.		
		reference is proper.	Man 4 Juan 3/19/08			
		GREGORY HUSON	SPE	Art Unit		
PTOL	-306 (REV. 7/03)	SUPERVISORY PATENT EXAMINEN-	S. DEPARTMENT OF COMMERCE Pater	nt and Trademark Office		

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